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## ATTORNEY DOCKET No.: 2003946-0210 (FP-03-0122-02US-XX (PCT))

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arimoto, et al.

Examiner: NYA.

Serial No:

NYA

Group Art Unit: NYA

Filing Date:

October 19, 2005

Corresp. to:

PCT/JP2004/005788

Int. Filing Date:

April 22, 2004 Earliest Priority: April 22, 2003

Title:

A POLYMORPH OF 4-[3-CHLORO-4

(CYCLOPROPYLAMINOCARBONYL)AMINOPHENOXY]-7-METHOXY-6-QUINOLINECARBOXAMIDE AND A PROCESS

THE PREPARATION OF THE SAME

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October 19

## INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, Applicant requests consideration of this Information Disclosure Statement.

#### Type of Statement

The present Information Disclosure Statement is:

- [X]An original Information Disclosure Statement; or
- A supplemental Information Disclosure Statement. []

### Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- Pursuant to 37 CFR § 1.97(b); no fee or certification is required: [X]
  - Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

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	[X]	within three months of the date of entry of the national stage as set forth			
		in § 1.	491 in an international application;		
	[]	Before	e the mailing of a first Office action on the merits; or		
	[]	Before	e the mailing of a first Office action after the filing of a request for		
		contin	ued examination under § 1.114.		
[]	Pursua	nt to 37	7 CFR § 1.97(c) after the dates listed above but before the mailing		
	date of	any of	a final action under § 1.113, a notice of allowance under § 1.311, or		
	an acti	an action that otherwise closes prosecution in the application; Applicant hereby			
	either:	ither:			
	[]	Certifi	ies that either:		
		[]	each item of information contained in the information disclosure		
			statement was first cited in any communication from a foreign		
			patent office in a counterpart foreign application not more than		
			three months prior to the filing of the information disclosure		
			statement; or		
		[]	That no item of information contained in the information		
			disclosure statement was cited in a communication from a foreign		
			patent office in a counterpart foreign application, and, to the		
			knowledge of the person signing the certification after making		
			reasonable inquiry, no item of information contained in the		
			information disclosure statement was known to any individual		
			designated in § 1.56(c) more than three months prior to the filing		
			of the information disclosure statement; or		
	[]	Includ	es herewith the fee set forth in § 1.17(p),		

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[]	Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action u					
	§ 1.1	tice of allowance under § 1.311, or an action that otherwise closes				
	prosecution in the application; Applicant hereby both:					
	[]	Certif	ies that either:			
		[]	each item of information contained in the information disclosure			
			statement was first cited in any communication from a foreign			
			patent office in a counterpart foreign application not more than			
			three months prior to the filing of the information disclosure			
			statement; or			
		[]	That no item of information contained in the information			
			disclosure statement was cited in a communication from a foreign			
			patent office in a counterpart foreign application, and, to the			
			knowledge of the person signing the certification after making			
			reasonable inquiry, no item of information contained in the			
			information disclosure statement was known to any individual			
			designated in § 1.56(c) more than three months prior to the filing			
			of the information disclosure statement; and			

Includes herewith the fee set forth in § 1.17(p). []

# Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

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Applicant includes copies of references as indicated below:

- [X] A copy of each cited reference not indicated with an asterisk is included;
- [ ] Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(d) because they were previously provided to the United States Patent Office in an Information Disclosure Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the following patent application that is relied upon in the present case for an earlier effective filing date under 35 USC § 120:

Serial Number	Filing Date	Status

[ ] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the aboveidentified application:

Applicant certifies that the Information Disclosure Statement either:

- [X] Does not contain non-English language citations;
- [ ] Includes one or more translations of a non-English citation; or
- [ ] Does contain non-English language citations, of which the following is a concise explanation:

#### Remarks

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

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The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 USC §102.

It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Dated: October 19, 2005

Respectfully submitted,

Brenda Herschbach Jarrell

Reg. No.: 39,223

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Un re Application No. Form PTO-1449 U.S. Department of Atty. Docket:: 107553927 Commerce 2003946-0210 (FP-03-0122-02US-XX (PCT)) Patent and Trademark Office (REV. 8-83) Applicant:. Arimoto, et al.. INFORMATION DISCLOSURE STATEMENT Filing Date: Group: NYA (Use several sheets if necessary) October, \_\_\_\_, 2005 U.S. PATENT DOCUMENTS U.S. Patent No. **Applicant** Issue Date Class Subclass Examiner's **Initials** U.S. PATENT APPLICATIONS Art Unit: Serial Number: Applicant: Filing Date: Group: Examiner's Initials: April 18, 2003 2004/0053908 A1 Funahashi, et al. 514 183 FOREIGN PATENT DOCUMENTS Translation Examiner's Document No. Country Date Initials Yes No OTHER DOCUMENTS Citation (Including Author, Title, Date, Pertinent Pages, Etc.) Examiner's **Initials** "95th Annual Meeting Proceedings" American Association For Cancer Research 45: 1070-1071, 2004. Copy of International Search Report for related PCT application No. PCT/JP2004/005788 **EXAMINER DATE CONSIDERED** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

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to applicant.

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